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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Peter Szor  
Assignee: Symantec Corporation  
Title: PROACTIVE PREVENTION OF POLYMORPHIC SMTP WORMS  
Serial No.: 10/763,731 Filed: January 22, 2004  
Examiner: Unknown Group Art 2141  
Unit:  
Docket No.: SYMC1042

Monterey, CA  
September 20, 2004

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER §1.97(b)**

Sir:

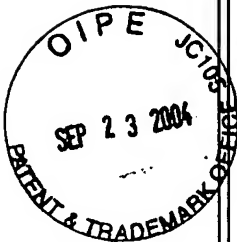
Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant(s) wish to call the following documents (a copy of each is enclosed) to the attention of the Examiner.

**U.S. PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	NAME
1)	6,088,803	07/11/00	Tso et al.
2)	US2004/0015726 A1	01/22/04	Szor

**OTHER DOCUMENTS**

1)	Szor, P. and Ferrie, P., "Hunting for Metamorphic", Virus Bulletin Conference, September 2001, Virus Bulletin Ltd., The Pentagon, Abingdon, Oxfordshire, England, pp. 123-144.
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2)	"News Release - Symantec Delivers Cutting-Edge Anti-Virus Technology With Striker32", October 1, 1999, 2 pages. [online]. Retrieved on November 11, 2003. Retrieved from the Internet:<URL:http://www.Symantec.com/press/1999/n991001.html>. Author unknown.
3)	Parkhouse, Jane, "Pelican SafeTNet 2.0", June 2000, 4 pages. [online]. Retrieved on December 1, 2003. Retrieved from the Internet:<URL:http://www.scmagazine.com/scmagazine/standalone/pelican/sc_pelican.html>.

A PTO form 1449 listing these documents is enclosed.

Regarding US2004/0015726 A1, see the co-filed Declaration (2 pages) by Peter Szor.

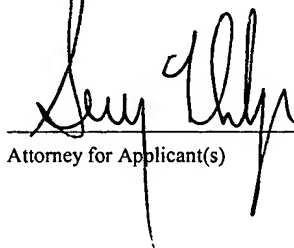
Citation of the above documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;
2. a representation that a search has been made, other than as described above; or
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

The Commissioner is hereby authorized to charge any fees required for consideration of this Information Disclosure Statement, and to credit any overpayment of fees to Deposit Account No. 50-0553.

#### CERTIFICATE OF MAILING

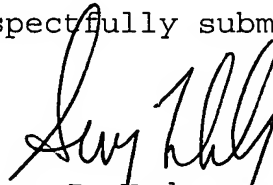
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on September 20, 2004.



Attorney for Applicant(s)

September 20, 2004  
Date of Signature

Respectfully submitted,



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Form PTO-1449

INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION

(Use several sheets if necessary)

Atty Docket No.

SYMC1042

Serial No.

10/763,731

Applicant(s)

Peter Szor

Filing Date

January 22, 2004

Group

2141

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,088,803	07/11/00	Tso et al.	713	201	
	AB	US2004/0015726 A1	01/22/04	Szor	713	201	09/25/02
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

## FOREIGN PATENT DOCUMENTS

							Translation	
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
	AL							
	AM							
	AN							
	AO							
	AP							

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR	Szor, P. and Ferrie, P., "Hunting for Metamorphic", Virus Bulletin Conference, September 2001, Virus Bulletin Ltd., The Pentagon, Abingdon, Oxfordshire, England, pp. 123-144.
	AS	"News Release - Symantec Delivers Cutting-Edge Anti-Virus Technology With Striker32", October 1, 1999, 2 pages. [online]. Retrieved on November 11, 2003. Retrieved from the Internet:<URL:http://www.Symantec.com/press/1999/n991001.html>. Author unknown.
	AT	Parkhouse, Jane, "Pelican SafeTNet 2.0", June 2000, 4 pages. [online]. Retrieved on December 1, 2003. Retrieved from the Internet:<URL:http://www.scmagazine.com/scmagazine/standalone/pelican/sc_pelican.html>.

Examiner

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).